

**PATIENT INFORMATION FORM**

**PATIENT INFORMATION:**

Full (legal) Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State/Zip: \_\_\_\_\_  
Phone #: \_\_\_\_\_ Cell # \_\_\_\_\_  
Email: \_\_\_\_\_  
Date of Birth: \_\_\_\_\_ Marital Status: \_\_\_\_\_  
Driver's License #: \_\_\_\_\_  
SS# \_\_\_\_\_

**SPOUSE :**

Full Name: \_\_\_\_\_  
Employer: \_\_\_\_\_  
Employer Phone#: \_\_\_\_\_  
SS# \_\_\_\_\_ DOB: \_\_\_\_\_  
Cell #: \_\_\_\_\_

**Whom may we contact in case of emergency?**

Phone#: \_\_\_\_\_

**Nearest Relative not living with you?**

Phone#: \_\_\_\_\_

**PATIENT EMPLOYMENT INFORMATION:**

Employer: \_\_\_\_\_  
Employer Address: \_\_\_\_\_  
Employer Phone #: \_\_\_\_\_

**Referring Physician:** \_\_\_\_\_

**PRIMARY INSURANCE INFORMATION:**

Insurance Co. Name: \_\_\_\_\_  
Phone #: \_\_\_\_\_  
Insured's Name: \_\_\_\_\_ DOB \_\_\_\_\_  
Policy #: \_\_\_\_\_ Group #: \_\_\_\_\_  
Address Where Claims are to be mailed: \_\_\_\_\_

**SECONDARY INSURANCE INFORMATION:**

Insurance Co. Name: \_\_\_\_\_  
Phone #: \_\_\_\_\_  
Insured's Name: \_\_\_\_\_ DOB \_\_\_\_\_  
Policy #: \_\_\_\_\_ Group #: \_\_\_\_\_  
Address where claims are to be mailed: \_\_\_\_\_

**Please check (✓) which method would be best to contact you for an appointment reminder?**

Home phone     Cell phone     TEXT Message     Work phone     Email     Regular mail

I authorize the release of medical or other information about me to the above listed insurance provider(s). I permit a copy of this authorization to be used in place of the original and request payment of medical insurance benefits either to myself or to the party who accepts assignment.

**Copays, co insurance, and/or deductibles are due at time of service unless other arrangements have been made.**

All accounts should be paid within 90 days of insurance being posted to prevent further action. I agree to pay any collection or attorney fees owed in addition to court costs if charges are not paid within the agreed upon terms and legal action is necessary to effect collection.

I certify that I have read all of the above and the information given is true.

Patient Signature: \_\_\_\_\_ Date: \_\_\_\_\_

This practice is required to provide quality reporting data to the government. You can choose to opt out to exclude yourself. Otherwise, you choose to share your information.  OPT OUT \_\_\_\_\_ (initial)

# Authorization For Use and Disclosure of Protected Health Information

## Information to Be Used or Disclosed

The information covered by this authorization includes:

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> All medical records              | <input type="checkbox"/> Office notes               | <input type="checkbox"/> Inpatient records  |
| <input type="checkbox"/> Discharge Summary                | <input type="checkbox"/> Reports of tests and xrays | <input type="checkbox"/> Outpatient records |
| <input type="checkbox"/> Face Sheets with Final Diagnosis | <input type="checkbox"/> Emergency room records     | <input type="checkbox"/> Abstracts          |
| <input type="checkbox"/> Procedures and Complications     | <input type="checkbox"/> Consultation reports       | <input type="checkbox"/> Physical therapy   |
| <input type="checkbox"/> History & Physical Records       | <input type="checkbox"/> Outpatient clinic notes    |   |
| <input type="checkbox"/> Other _____                      |   |   |

## Purposes of Disclosure

Information listed above will be disclosed for the following purposes:

- |  |   |
|--|---|
| <input type="checkbox"/> For my doctor's information | <input type="checkbox"/> For designated persons information |
| <input type="checkbox"/> Other _____                 |   |

## Persons Authorized to Use or Disclose Information

Information listed above will be used or disclosed by:

**MICHAEL W. GOODMAN, M.D.**

**MATTHEW E. BAGAMERY, M.D.**

## Persons to Whom Information May Be Disclosed

Information described above may be disclosed to:

Spouse: \_\_\_\_\_

Son/Daughter: \_\_\_\_\_

Friend: \_\_\_\_\_

Doctor: \_\_\_\_\_

Other/relationship: \_\_\_\_\_

## Date of Authorization

The effective dates of this authorization: \_\_\_\_\_ - \_\_\_\_\_.

## Right to Terminate or Revoke Authorization

This authorization may be revoked at any time by submitting a written revocation to MICHAEL W. GOODMAN, MD, P.C., 979 E. Third Street, Suite C-0630, Chattanooga, TN 37403. You should contact the Privacy Officer to terminate this authorization.

\_\_\_\_\_  
date

\_\_\_\_\_  
signature of patient or personal representative

\_\_\_\_\_  
patient's date of birth

\_\_\_\_\_  
patient's social security number

\_\_\_\_\_  
printed name of patient or personal representative (if applicable)

\_\_\_\_\_  
rationale for serving as personal representative (i.e. parent, guardian)

Name: \_\_\_\_\_ Date of Birth: \_\_\_\_\_ Date: \_\_\_\_\_

Referred by: \_\_\_\_\_ Referred for: \_\_\_\_\_

PCP if not the referring M.D.: \_\_\_\_\_ Other MD's: \_\_\_\_\_

**Social History:**

Occupation \_\_\_\_\_

Do you have a family member that is seen in this office?  No  Yes, who? \_\_\_\_\_

**Have you ever seen a doctor that treats the stomach, colon, liver, gallbladder, bile ducts or pancreas?**

Yes  No

Name/Date: \_\_\_\_\_ Name/Date: \_\_\_\_\_

(For Office Use Only)

B/P: \_\_\_\_\_ T: \_\_\_\_\_ P: \_\_\_\_\_ R: \_\_\_\_\_ O<sup>2</sup> Sat: \_\_\_\_\_ Weight: \_\_\_\_\_

Height: \_\_\_\_\_

BMI Pamphlet  Smoking Pamphlet

BMI: \_\_\_\_\_

**Are you experiencing any of the following symptoms? (Please check)**

Persistent cough (One which has lasted for 3 or more weeks)  Bloody Sputum  Night Sweats  
 Weight loss  Anorexia  Fever

**Are you experiencing any of the following upper GI symptoms? (Please check)**

Painful swallowing  Vomiting blood  Indigestion  Excessive belching  
 Food sticking  Reflux  Abdominal pain \_\_\_\_\_  
 Nausea  Heartburn  Weight loss  
 Vomiting  Loss of appetite  Early satiety (fill up too quickly)

**Are you experiencing any of the following lower GI symptoms? (Please check)**

Diarrhea  Black, tarry stool  Rectal pain  
 Constipation  Urgency  Incontinence (soiling)  
 Red blood in stool  Hemorrhoids  Gas/bloating  
 Straining  Rectal prolapse

**Elimination Habits:**

Number of bowel movements per day \_\_\_\_\_, per week \_\_\_\_\_.

Bowel movements are (please circle): hard, soft, formed, loose, watery, marble-like

Do you see pus or mucous in stool?  Yes  No

**Personal Habits:**

	Current	Past History	
Daily tobacco use:	_____	_____	(Packs/how much snuff/chewing tobacco/cigars per day)
Alcohol use:	_____	_____	(drinks per day/week)
Daily caffeine use:	_____	_____	(cups or glasses of Coke, coffee or tea a day)
Dairy products:	_____	_____	(how many servings per day)
Herbal remedies:	_____	_____	(how much/how many times a day or week)
Illegal Drug Use	_____	_____	(drugs used _____)

Are you pregnant?  Yes  No

Are you nursing:  Yes  No

**Have you ever had any of the following x-rays of the stomach and/or colon (please check)**

		Where/Doctor who ordered	Results	Date (approx)
Barium enema:	<input type="checkbox"/> Yes <input type="checkbox"/> No	_____	_____	_____
Upper GI:	<input type="checkbox"/> Yes <input type="checkbox"/> No	_____	_____	_____
Small bowel:	<input type="checkbox"/> Yes <input type="checkbox"/> No	_____	_____	_____
Ultrasound of gallbladder:	<input type="checkbox"/> Yes <input type="checkbox"/> No	_____	_____	_____
CT of abdomen:	<input type="checkbox"/> Yes <input type="checkbox"/> No	_____	_____	_____
Hida Scan:	<input type="checkbox"/> Yes <input type="checkbox"/> No	_____	_____	_____
Gastric emptying scan:	<input type="checkbox"/> Yes <input type="checkbox"/> No	_____	_____	_____
MRI of the abdomen:	<input type="checkbox"/> Yes <input type="checkbox"/> No	_____	_____	_____

Name: \_\_\_\_\_ Date of Birth: \_\_\_\_\_ Date: \_\_\_\_\_

**LABORATORY WORK:**

Have you had any recent lab work done? Yes No When: \_\_\_\_\_ Where: \_\_\_\_\_  
Have you had a recent EKG? Yes No When: \_\_\_\_\_ Where: \_\_\_\_\_

**Have you ever had your throat, stomach or intestines looked at with a lighted scope? (Please check)**

	<input type="checkbox"/> Yes <input type="checkbox"/> No	Where/Doctor	Date (Approx)
Panendoscopy (stomach)	<input type="checkbox"/> Yes <input type="checkbox"/> No	_____	_____
Colonoscopy	<input type="checkbox"/> Yes <input type="checkbox"/> No	_____	_____
Flexible sigmoidoscopy	<input type="checkbox"/> Yes <input type="checkbox"/> No	_____	_____
ERCP	<input type="checkbox"/> Yes <input type="checkbox"/> No	_____	_____
Stretching of your esophagus	<input type="checkbox"/> Yes <input type="checkbox"/> No	_____	_____

**Medical History: (please check)**

<b>ANESTHESIA</b> – Have you ever had trouble with it?.....	<input type="checkbox"/> Yes, what? _____	<input type="checkbox"/> No
<b>COMPLICATIONS</b> – Have you ever had any after procedures/surgery?	<input type="checkbox"/> Yes, what? _____	<input type="checkbox"/> No
Breathing problems / COPD/Emphysema/Asthma/ <b>Sleep Apnea</b> .....	<input type="checkbox"/> Yes (circle problems)	<input type="checkbox"/> No
Diabetes .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
High blood pressure / TIA / Stroke .....	<input type="checkbox"/> Yes (circle problems)	<input type="checkbox"/> No
Heart disease/heart attack/heart surgery .....	<input type="checkbox"/> Yes (circle problems)	<input type="checkbox"/> No
Mitral valve prolapse .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Do you take antibiotics before dental or other procedures? .....	... <input type="checkbox"/> Yes, why? _____	<input type="checkbox"/> No
Glaucoma .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Seizures .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Hepatitis/yellow jaundice .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
History of hip or knee joint replacement .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Any pins or screws in your body .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Any recent antibiotic use .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Ever been treated for <b>H. pylori</b> bacteria .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Have you had any cancer .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Blood transfusions (if “yes”, when _____) .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Any recent stress .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Any emotional problems (depression, panic attacks, etc.) .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Any history of alcohol or drug abuse .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Have you ever been to pain management? .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Are you taking any diet pills? .....	<input type="checkbox"/> Yes, what? _____	<input type="checkbox"/> No
Are you <b>HIV</b> Positive?.....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Have you ever had chemotherapy or radiation therapy?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Date of last flu shot? \_\_\_\_\_ Date of last pneumonia shot? \_\_\_\_\_

**Other Conditions:**

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Name: \_\_\_\_\_ Date of Birth: \_\_\_\_\_ Date: \_\_\_\_\_

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**Surgical History:**

	Surgery:	Date/Place:	Surgeon:
<b>Cardiac</b>	<input type="checkbox"/> coronary bypass	_____	_____
	<input type="checkbox"/> coronary stent	_____	_____
	<input type="checkbox"/> pacemaker insertion	_____	_____
	<input type="checkbox"/> internal defibrillator	_____	_____
	<input type="checkbox"/> heart valve replacement	_____	_____
<b>GI</b>	<input type="checkbox"/> anal fissure repair	_____	_____
	<input type="checkbox"/> colon resection	_____	_____
	<input type="checkbox"/> small bowel resection	_____	_____
	<input type="checkbox"/> gastrectomy (Bilroth I or II)	_____	_____
	<input type="checkbox"/> Nissen funduplication	_____	_____
	<input type="checkbox"/> gastric banding	_____	_____
	<input type="checkbox"/> gastric bypass	_____	_____
	<input type="checkbox"/> gallbladder	_____	_____
<input type="checkbox"/> hemorrhoidectomy	_____	_____	
<b>Orthopedic</b>	<input type="checkbox"/> Hip replacement	_____	_____
	<input type="checkbox"/> Knee replacement	_____	_____
	<input type="checkbox"/> Cervical spine	_____	_____
<b>Other surgeries</b>	<input type="checkbox"/> _____	_____	_____
	<input type="checkbox"/> _____	_____	_____
	<input type="checkbox"/> _____	_____	_____

**Family History:** (Any stomach/colon/liver problems/ uterine cancer/ kidney cancer)

Adopted	<input type="checkbox"/> unknown		
Mother	<input type="checkbox"/> colon cancer	<input type="checkbox"/> colon polyps	<input type="checkbox"/> Crohn's <input type="checkbox"/> ulcerative colitis <input type="checkbox"/> other cancers/diseases _____
Father	<input type="checkbox"/> colon cancer	<input type="checkbox"/> colon polyps	<input type="checkbox"/> Crohn's <input type="checkbox"/> ulcerative colitis <input type="checkbox"/> other cancers/diseases _____
Brother	<input type="checkbox"/> colon cancer	<input type="checkbox"/> colon polyps	<input type="checkbox"/> Crohn's <input type="checkbox"/> ulcerative colitis <input type="checkbox"/> other cancers/diseases _____
Sister	<input type="checkbox"/> colon cancer	<input type="checkbox"/> colon polyps	<input type="checkbox"/> Crohn's <input type="checkbox"/> ulcerative colitis <input type="checkbox"/> other cancers/diseases _____
Maternal grandmother	<input type="checkbox"/> colon cancer	<input type="checkbox"/> colon polyps	<input type="checkbox"/> Crohn's <input type="checkbox"/> ulcerative colitis <input type="checkbox"/> other cancers/diseases _____
Maternal grandfather	<input type="checkbox"/> colon cancer	<input type="checkbox"/> colon polyps	<input type="checkbox"/> Crohn's <input type="checkbox"/> ulcerative colitis <input type="checkbox"/> other cancers/diseases _____
Paternal grandmother	<input type="checkbox"/> colon cancer	<input type="checkbox"/> colon polyps	<input type="checkbox"/> Crohn's <input type="checkbox"/> ulcerative colitis <input type="checkbox"/> other cancers/diseases _____
Paternal grandfather	<input type="checkbox"/> colon cancer	<input type="checkbox"/> colon polyps	<input type="checkbox"/> Crohn's <input type="checkbox"/> ulcerative colitis <input type="checkbox"/> other cancers/diseases _____
Children	<input type="checkbox"/> colon cancer	<input type="checkbox"/> colon polyps	<input type="checkbox"/> Crohn's <input type="checkbox"/> ulcerative colitis <input type="checkbox"/> other cancers/diseases _____

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Name: \_\_\_\_\_ Date of Birth: \_\_\_\_\_ Date: \_\_\_\_\_

**CURRENT:**

**Medications You Are Now Taking (including any over the counter medications, hormones and birth control pills):**

Drug/Mg/Frequency

Drug/Mg/Frequency

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**PAST:**

**Have you ever used any of the following medications on a regular basis? (If 'YES', when, and did it work?)**

Aspirin containing medications (Goodies, Excedrin, Aspirin, Alka-Selzer) Yes No \_\_\_\_\_

Arthritis Medications (Nsaid, Motrin, Ibuprofen, Advil, Aleve, etc.) Yes No

Ulcer medications (Prilosec, Prevacid, Aciphex, Tagamet, Protonix, Nexium) Yes No \_\_\_\_\_

Stomach cramps medication (Librax, Levsin, Donnatal, Hyoscyamine, Bentyl NuLev, Zelnorm) Yes No \_\_\_\_\_

Nerve Pills (Xanax, Valium, Tranxene, Prozac, Zoloft, Paxil, etc.) Yes No \_\_\_\_\_

Blood thinners (Coumadin, Aspirin, Heparin, Xarelto, Plavix, Eliquis etc.) Yes No

Anti Nausea medicines {Phenergan, Zofran, Compazine} Yes No \_\_\_\_\_

Iron tablets Yes No \_\_\_\_\_

Laxatives (Correctol, Senokot, Lactulose, Miralax, Kristalose, etc.) Yes No \_\_\_\_\_

Herbal Product: \_\_\_\_\_ Yes No \_\_\_\_\_  
Please specify product

Medication to help stomach empty faster ( Reglan, Propulsid, etc.) Yes No \_\_\_\_\_

Fiber supplements (Metamucil, Fiber-Con, Citrucel, Konsyl, Equalactin) Yes No \_\_\_\_\_

Diet pills (Prescription or over-the-counter) \_\_\_\_\_ Yes No \_\_\_\_\_  
Please specify product

Antacids (Tums, Rolaids, etc.) Yes No \_\_\_\_\_

Questran powder, Cholestid, Welchol Yes No \_\_\_\_\_

Imodium, Lomotil or Pepto Bismol (for diarrhea) Yes No \_\_\_\_\_

Asacol, Pentasa, Prednisone, Imuran, Purinethol, Methotrexate, Remicade, Entyvio, Simponi, Humira, etc Yes No \_\_\_\_\_

Accutane Yes No \_\_\_\_\_

List pain medications you've used in the past

\_\_\_\_\_  
\_\_\_\_\_

**Allergies (Including medications, x-ray dye, latex, tapes, foods, etc.):**

\_\_\_\_\_  
\_\_\_\_\_

Reviewed By: \_\_\_\_\_ Date: \_\_\_\_\_

# MICHAEL W GOODMAN, MD, PC

## NOTICE OF PRIVACY PRACTICES

Effective Date: 1/1/2011.

This Notice was most recently revised on December 1, 2010.

**THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED OR DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.**

**IF YOU HAVE ANY QUESTIONS ABOUT THIS NOTICE OR IF YOU NEED MORE INFORMATION, PLEASE CONTACT OUR PRIVACY OFFICER:**

Privacy Officer: Suzanne Keith

Mailing Address: 979 E. 3<sup>rd</sup> Street, Suite C-0630; Chattanooga, TN 37403-3348

Telephone: (423) 267-5677; Fax: (423) 267-6179

E-mail: skeith@goodman-gi.com

### **About This Notice**

We are required by law to maintain the privacy of Protected Health Information and to give you this Notice explaining our privacy practices with regard to that information. You have certain rights and we have certain legal obligations regarding the privacy of your Protected Health Information. This Notice explains your rights and our obligations. We are required to abide by the terms of the current version of this Notice.

### **What is Protected Health Information?**

Protected Health Information is information that individually identifies you and that we create or get from you or from another health care provider, a health plan, your employer, or a health care clearinghouse and that relates to

- (1) your past, present, or future physical or mental health or conditions,
- (2) the provision of health care to you, or
- (3) the past, present, or future payment for your health care.

### **How We May Use and Disclose Your Protected Health Information**

We may use and disclose your Protected Health Information in the following circumstances:

**For Treatment.** We may use Protected Health Information to give you medical treatment or services and to manage and coordinate your medical care. For example, we may disclose Protected Health Information to doctors, nurses, technicians, or other personnel who are involved in taking care of you, including people outside our practice, such as referring or specialist physicians.

**For Payment.** We may use and disclose Protected Health Information so that we can bill for the treatment and services you get from us and can collect payment from you, an insurance company, or another third party. For example, we may need to give your health plan information about your treatment in order for your health plan to pay for that treatment. We also may tell your health plan about a treatment you are going to receive to find out if your plan will cover the treatment. If a bill is overdue we may need to give Protected Health Information to a collection agency to the extent necessary to help collect the bill, and we may disclose an outstanding debt to credit reporting agencies.

**For Health Care Operations.** We may use and disclose Protected Health Information for our health care operations. For example, we may use Protected Health Information for our general business management activities, for checking on the performance of our staff in caring for you, for our cost-management activities, for audits, or to get legal services. We may give Protected Health Information to other health care entities for their health care operations, for example, to your health insurer for its quality review purposes.

**Appointment Reminders/Treatment Alternatives/Health-Related Benefits and Services.** We may use and disclose Protected Health Information to contact you to remind you that you have an appointment for medical care, or to contact you to tell you about possible treatment options or alternatives or health related benefits and services that may be of interest to you.

**Minors.** We may disclose the Protected Health Information of minor children to their parents or guardians unless such disclosure is otherwise prohibited by law.

**Personal Representative.** If you have a personal representative, such as a legal guardian (or an executor or administrator of your estate after your death), we will treat that person as if that person is you with respect to disclosures of your Protected Health Information.

**Research.** We may use and disclose your Protected Health Information for research purposes, but we will only do that if the research has been specially approved by an institutional review board or a privacy board that has reviewed the research proposal and has set up protocols to ensure the privacy of your Protected Health Information. Even without that special approval, we may permit researchers to look at Protected Health Information to help them prepare for research, for example, to allow them to identify patients who may be included in their research project, as long as they do not remove, or take a copy of, any Protected Health Information. We may use and disclose a limited data set that does not contain specific readily identifiable information about you for research. But we will only disclose the limited data set if we enter into a data use agreement with the recipient who must agree to

- (1) use the data set only for the purposes for which it was provided,
- (2) ensure the security of the data, and
- (3) not identify the information or use it to contact any individual.

**As Required by Law.** We will disclose Protected Health Information about you when required to do so by international, federal, state, or local law.

**To Avert a Serious Threat to Health or Safety.** We may use and disclose Protected Health Information when necessary to prevent a serious threat to your health or safety or to the health or safety of others. But we will only disclose the information to someone who may be able to help prevent the threat.

**Business Associates.** We may disclose Protected Health Information to our business associates who perform functions on our behalf or provide us with services if the Protected Health Information is necessary for those functions or services. For example, we may use another company to do our billing, or to provide transcription or consulting services for us. All of our business associates are obligated, under contract with us, to protect the privacy of your Protected Health Information.

**Organ and Tissue Donation.** If you are an organ or tissue donor, we may use or disclose your Protected Health Information to organizations that handle organ procurement or transplantation – such as an organ donation bank – as necessary to facilitate organ or tissue donation and transplantation.

**Military and Veterans.** If you are a member of the armed forces, we may release Protected Health Information as required by military command authorities. We also may release Protected Health Information to the appropriate foreign military authority if you are a member of a foreign military.

**Workers' Compensation.** We may use or disclose Protected Health Information for workers' compensation or similar programs that provide benefits for work-related injuries or illness.

**Public Health Risks.** We may disclose Protected Health Information for public health activities. This includes disclosures to:

- (a) a person subject to the jurisdiction of the Food and Drug Administration (“FDA”) for purposes related to the quality, safety or effectiveness of an FDA-regulated product or activity;
- (b) prevent or control disease, injury or disability;
- (c) report births and deaths;
- (d) report child abuse or neglect;
- (e) report reactions to medications or problems with products;
- (f) notify people of recalls of products they may be using;
- (g) a person who may have been exposed to a disease or may be at risk for contracting or spreading a disease or condition; and

(h) the appropriate government authority if we believe a patient has been the victim of abuse, neglect, or domestic violence and the patient agrees or we are required or authorized by law to make that disclosure.

**Health Oversight Activities.** We may disclose Protected Health Information to a health oversight agency for activities authorized by law. These oversight activities include, for example, audits, investigations, inspections, licensure, and similar activities that are necessary for the government to monitor the health care system, government programs, and compliance with civil rights laws.

**Lawsuits and Disputes.** If you are involved in a lawsuit or a dispute, we may disclose Protected Health Information in response to a court or administrative order. We also may disclose Protected Health Information in response to a subpoena, discovery request, or other legal process from someone else involved in the dispute, but only if efforts have been made to tell you about the request or to get an order protecting the information requested. We may also use or disclose your Protected Health Information to defend ourselves if you sue us.

**Law Enforcement.** We may release Protected Health Information if asked by a law enforcement official for the following reasons: in response to a court order, subpoena, warrant, summons or similar process; to identify or locate a suspect, fugitive, material witness, or missing person; about the victim of a crime if; about a death we believe may be the result of criminal conduct; about criminal conduct on our premises; and in emergency circumstances to report a crime, the location of the crime or victims, or the identity, description, or location of the person who committed the crime.

**National Security.** We may release Protected Health Information to authorized federal officials for national security activities authorized by law. For example, we may disclose Protected Health Information to those officials so they may protect the President.

**Coroners, Medical Examiners, and Funeral Directors.** We may release Protected Health Information to a coroner, medical examiner, or funeral director so that they can carry out their duties.

**Inmates.** If you are an inmate of a correctional institution or under the custody of a law enforcement official, we may disclose Protected Health Information to the correctional institution or law enforcement official if the disclosure is necessary for the institution to provide you with health care; to protect your health and safety or the health and safety of others; or the safety and security of the correctional institution.

### **Uses and Disclosures That Require Us to Give You an Opportunity to Object and Opt Out**

**Individuals Involved in Your Care or Payment for Your Care.** We may disclose Protected Health Information to a person who is involved in your medical care or helps pay for your care, such as a family member or friend, to the extent it is relevant to that person's



involvement in your care or payment related to your care. But before we do that, we will provide you with an opportunity to object to and opt out of such a disclosure whenever we practicably can do so.

**Disaster Relief.** We may disclose your Protected Health Information to disaster relief organizations that seek your Protected Health Information to coordinate your care, or notify family and friends of your location or condition in a disaster. We will provide you with an opportunity to agree or object to such a disclosure whenever we practicably can do so.

### **Your Written Authorization is Required for Other Uses and Disclosures**

Other uses and disclosures of Protected Health Information not covered by this Notice or the laws that apply to us will be made only with your written authorization. If you do give us an authorization, you may revoke it at any time by submitting a written revocation to our Privacy Officer and we will no longer disclose Protected Health Information under the authorization. But disclosure that we made in reliance on your authorization before you revoked it will not be affected by the revocation.

### **Special Protections for HIV, Alcohol and Substance Abuse, Mental Health, and Genetic Information**

Special privacy protections apply to HIV-related information, alcohol and substance abuse, mental health, and genetic information. Some parts of this general Notice of Privacy Practices may not apply to these kinds of Protected Health Information. Please check with our Privacy Officer for information about the special protections that do apply. For example, if we give you a test to determine if you have been exposed to HIV, we will not disclose the fact that you have taken the test to anyone without your written consent unless otherwise required by law.

### **Your Rights Regarding Your Protected Health Information**

You have the following rights, subject to certain limitations, regarding your Protected Health Information:

**1. Right to Inspect and Copy.** You have the right to inspect and copy Protected Health Information that may be used to make decisions about your care or payment for your care. But you do not have a right to inspect or copy psychotherapy notes. We may charge you a fee for the costs of copying, mailing or other supplies associated with your request. We may not charge you a fee if you need the information for a claim for benefits under the Social Security Act or any other state or federal needs-based benefit program. We may deny your request in certain limited circumstances. If we do deny your request, you have the right to have the denial reviewed by a licensed healthcare professional who was not directly involved in the denial of your request, and we will comply with the outcome of the review.

**2. Right to an Electronic Copy of Electronic Medical Records.** If your Protected Health Information is maintained in an Electronic format (known as an electronic medical record or an electronic health record), you have the right to request that an electronic copy of your record be given to you or transmitted to another individual or entity. We may charge you a reasonable, cost-based fee for the labor associated with transmitting the electronic medical record.

**3. Right to Get Notice of a Security Breach.** We are required to notify you by first class mail or by e-mail (if you have indicated a preference to receive information by e-mail), of any breach of your Unsecured Protected Health Information as soon as possible, but in any event, no later than 60 days after we discover the breach. “Unsecured Protected Health Information” is Protected Health Information that has not been made unusable, unreadable, and undecipherable to unauthorized users. The notice will give you the following information:

- a short description of what happened, the date of the breach and the date it was discovered;
- the steps you should take to protect yourself from potential harm from the breach;
- the steps we are taking to investigate the breach, mitigate losses, and protect against further breaches; and
- contact information where you can ask questions and get additional information.

If the breach involves 10 or more patients whose contact information is out of date we will post a notice of the breach on our website or in a major print or broadcast media.

**4. Right to Request Amendments.** If you feel that Protected Health Information we have is incorrect or incomplete, you may ask us to amend the information. You have the right to request an amendment for as long as the information is kept by or for us. A request for amendment must be made in writing to the Privacy Officer at the address provided at the beginning of this Notice and it must tell us the reason for your request. We may deny your request if it is not in writing or does not include a reason to support the request. In addition, we may deny your request if you ask us to amend information that (1) was not created by us, (2) is not part of the medical information kept by or for us, (3) is not information that you would be permitted to inspect and copy, or (4) is accurate and complete. If we deny your request, you may submit a written statement of disagreement of reasonable length. Your statement of disagreement will be included in your medical record, but we may also include a rebuttal statement.

**5. Right to an Accounting of Disclosures.** You have the right to ask for an “accounting of disclosures,” which is a list of the disclosures we made of your Protected Health Information. We are not required to list certain disclosures, including

- a) disclosures made for treatment, payment, and health care operations purposes, (unless the disclosures were made through an electronic medical record, in which case you have the right to request an accounting of those disclosures that were made during the 3 years before your request),
- b) disclosures made with your authorization,
- c) disclosures made to create a limited data set, and

- d) disclosures made directly to you. You must submit your request in writing to our Privacy Officer. Your request must state a time period which may not be longer than 6 years before your request. Your request should indicate in what form you would like the accounting (for example, on paper or by e-mail). The first accounting of disclosures you request within any 12-month period will be free. For additional requests within the same period, we may charge you for the reasonable costs of providing the accounting. We will tell what the costs are, and you may choose to withdraw or modify your request before the costs are incurred.

**6. Right to Request Restrictions.** You have the right to request a restriction or limitation on the Protected Health Information we use or disclose for treatment, payment, or health care operations. You also have the right to request a limit on the Protected Health Information we disclose about you to someone who is involved in your care or the payment for your care, like a family member or friend. We are not required to agree to your request. If we agree, we will comply with your request unless we terminate our agreement or the information is needed to provide you with emergency treatment.

**7. Out-of-Pocket-Payments.** If you paid out-of-pocket in full for a specific item or service, you have the right to ask that your Protected Health Information with respect to that item or service not be disclosed to a health plan for purposes of payment or health care operations, and we will honor that request.

**8. Right to Request Confidential Communications.** You have the right to request that we communicate with you only in certain ways to preserve your privacy. For example, you may request that we contact you by mail at a special address or call you only at your work number. You must make any such request in writing and you must specify how or where we are to contact you. We will accommodate all reasonable requests. We will not ask you the reason for your request.

**9. Right to a Paper Copy of This Notice.** You have the right to a paper copy of this Notice, even if you have agreed to receive this Notice electronically. You may request a copy of this Notice at any time. You can get a copy of this Notice at our website @ <http://www.goodman-gi.com> or in our office.

### **How to Exercise Your Rights**

To exercise your rights described in this Notice, send your request, in writing, to our Privacy Officer at the address listed at the beginning of this Notice. We may ask you to fill out a form that we will supply. To exercise your right to inspect and copy your Protected Health Information, you may also contact your physician directly. To get a paper copy of this Notice, contact our Privacy Officer by phone or mail.

### **Complaints**

If you believe your privacy rights have been violated, you may file a complaint with us or with the Secretary of the United States Department of Health and Human Services.

To file a complaint with us, contact our Privacy Officer at the address listed at the beginning of this Notice. All complaints must be made in writing and should be submitted within 180 days of when you knew or should have known of the suspected violation. There will be no retaliation against you for filing a complaint.

To file a complaint with the Secretary, mail it to: Secretary of the U.S. Department of Health and Human Services, 200 Independence Ave, S.W., Washington, D.C. 20201. Call (202) 619-0257 (or toll free (877) 696-6775) or go to the website of the Office for Civil Rights, [www.hhs.gov/ocr/hipaa/](http://www.hhs.gov/ocr/hipaa/), for more information. There will be no retaliation against you for filing a complaint.

### **Changes To This Notice**

The effective date of the Notice is stated at the beginning. We reserve the right to change this Notice. We reserve the right to make the changed Notice effective for Protected Health Information we already have as well as for any Protected Health Information we create or receive in the future. A copy of our current Notice is posted in our office and on our website.

### **Optional Provisions:**

**Foreign Language Version.** If you have difficulty reading or understanding English, you may request a copy of this Notice in Spanish.

**Medical Residents and Medical Students.** Medical residents or medical students may observe or participate in your treatment or use your Protected Health Information to assist in their training. You have the right to refuse to be examined, observed, or treated by medical residents or medical students.

**Newsletters and Other Communications.** We may use your Protected Health Information to communicate to you by newsletters, mailings, or other means regarding treatment options, health related information, disease management programs, wellness programs, or other community based initiatives or activities in which our practice is participating.

**Marketing.** In most circumstances, we are required to get your written authorization before we use or disclose your Protected Health Information for marketing purposes. However, we may provide you with promotional gifts of nominal value. Under no circumstances will we sell our patient lists or disclose your Protected Health Information to a third party for marketing purposes without written authorization from the patients.

**Psychotherapy Notes.** Under most circumstances, without your written authorization we may not disclose the notes a mental health professional took during a counseling session. However, we may disclose such notes for treatment and payment purposes, for state and federal oversight of the mental health professional, for the purposes of medical examiners and coroners, to avert a serious threat to health or safety, or as otherwise authorized by law.